

Ljubljana Stock Exchange Inc.

SI ENTER SERVICES FEE SCHEDULE

Ljubljana, 30 June 2022



LJUBLJANSKA BORZA
LJUBLJANA *Stock Exchange*

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On the basis of Article 34 of the Ljubljana Stock Exchange Inc. Articles of Association, the Ljubljana Stock Exchange Inc. adopted at its meeting on 30 June 2022 the following tariff or

Ljubljana Stock Exchange Inc. SI ENTER SERVICES FEE SCHEDULE

1 ADVANCE Segment

1.1 SUB-segment ADVANCE SHARES

1.1.1 Listing fees for equity

1.1.1.1 The fee for listing equity on the sub-segment ADVANCE SHARES is **EUR 1,650.00**.

1.1.1.2 The fee for listing subsequent issues of equity from the same class as the equity already listed is **EUR 825.00**.

1.1.2 Annual listing maintenance fee for equity

1.1.2.1 The annual listing maintenance fee for equity in the sub-segment ADVANCE SHARES is **EUR 1,100.00**.

1.1.3 Fee for transfer of equity to another sub-segment

1.1.3.1 Fee for transfer of equity from sub-segment ADVANCE SHARES to sub-segment SHARES SLOVENIA will not be charged.

1.2 SUB-segment ADVANCE BONDS

1.2.1 Listing fees for bonds

1.2.1.1 The fee for listing bonds is **EUR 935.00**.

1.2.1.2 If bonds are issued in several subsequent issues or over a programme, the listing fee is only charged upon initial listing, while each subsequent issue is levied with a fee for adopting decisions.

1.2.2 Annual listing maintenance fee for bonds

1.2.2.1 The annual listing maintenance fee for bonds in the sub-segment ENTER BONDS is **EUR 825.00**.

1.3 SUB-segment ADVANCE COMMERCIAL PAPERS

1.3.1 Listing fees for commercial papers

1.3.1.1 The fee for listing commercial papers is **EUR 825.00**.

1.3.2 Annual listing maintenance fee for bonds

1.3.2.1 The annual listing maintenance fee for commercial papers in the sub-segment ENTER COMMERCIAL PAPERS will not be charged.

2 BASIC Segment

2.1 SUB-segment SHARES SLOVENIA

2.1.1 Listing fees for equity

2.1.1.1 The fee for listing equity on the sub-segment SHARES SLOVENIA will not be charged.

2.1.2 Annual listing maintenance fee for equity

2.1.2.1 The annual listing maintenance fee for equity in the sub-segment SHARES SLOVENIA will not be charged.

3 Fees charged for adopting decisions

The fee for adopting decisions under 3.1, 3.2, 3.3 and 3.4 is charged on the basis of a filed issuer application for securities from ADVANCE segment or ADVANCE SHARES, ADVANCE BONDS and ADVANCE COMMERCIAL PAPERS sub-segments and shall be settled when the application is filed, notwithstanding the Exchange's later dismissal or rejection of the application or its termination of the decision procedure. The fee for adopting a decision from point 3.2 for securities from sub-segments ADVANCE SHARES, ADVANCE BONDS and ADVANCE COMMERCIAL PAPERS is charged also in a matter for which the issuer did not file an application. The fee must be settled within 8 days after the decision and respective invoice have been issued.

The fee for adopting decisions in matters given under 3.1, 3.2, 3.3 and 3.4 will not be charged for securities listed on sub-segment SHARES SLOVENIA.

The fee for adopting decisions in matters given under 3.1, 3.2, 3.3 and 3.4 is **EUR 275.00**.

3.1 Fee for deciding on listing on the ADVANCE Segment

3.1.1 The fee for deciding on the listing of equity, bonds, commercial papers on the ADVANCE Segment

3.2 Fee for deciding on suspension of trading

3.2.1 The fee for deciding on a suspension of trading in a security pursuant to the first indent of the first paragraph of Article 24 and the second paragraph of Article 24 of the SI ENTER Rules will not be charged.

3.3 Fee for deciding on changes in a security

3.3.1 The fee for deciding on a change in a security (increase or decrease in the number of securities, other changes).

3.4 Fee for deciding on delisting

3.4.1 The fee for deciding on the delisting of a security from the ADVANCE Segment. The delisting fee will not be charged for bonds and commercial papers that have reached maturity.

3.5 Fee for deciding on transfer of a security

3.5.1 The fee for deciding on a transfer of a security into a higher or lower sub-segment of the ENTER Segment will not be charged.

4 PROGRESS SEGMENT

4.1 SUB-segment PROGRESS SHARES

4.1.1 Listing fees for equity

4.1.1.1 The fee for listing equity is **EUR 1,650.00**.

4.1.1.2 The fee for listing subsequent issues of equity from the same class as the equity already listed is **EUR 825.00**.

4.1.2 Annual listing maintenance fee for equity

4.1.2.1 The annual listing maintenance fee for equity is **EUR 1,100.00**.

4.2 SUB-segment PROGRESS BONDS

4.2.1 Listing fees for bonds

4.2.1.1 The fee for listing bonds is **EUR 1,650.00**.

4.2.1.2 In the event that the bonds are issued over a period of one year in several successive issues or in the form of a program, the listing fee shall be paid only on first admission, and with each subsequent issue only a fee for adopting decisions shall be charged.

4.2.2 Annual listing maintenance fee for bonds

4.2.2.1 The annual listing maintenance fee for bonds is **EUR 1,100.00**.

4.3 SUB-segment PROGRESS COMMERCIAL PAPERS

4.3.1 Listing fees for commercial papers

4.3.1.1 The fee for listing commercial papers is **EUR 1,100.00**.

4.3.2 Annual listing maintenance fee for commercial papers

4.3.2.1 The annual listing maintenance fee for commercial papers will not be charged.

4.4 Fees charged for adopting decisions

The fee for adopting decisions under 4.4.1, 4.4.2, 4.4.3 and 4.4.4 is charged on the basis of a filed issuer application for securities from PROGRESS Segment and shall be settled when the application is filed, notwithstanding the Exchange's later dismissal or rejection of the application or its termination of the decision procedure. The fee for adopting a decision from point 4.4.2 for securities from PROGRESS Segment is charged also in a matter for which the issuer did not file an application. The fee must be settled within 8 days after the decision and respective invoice have been issued.

The fee for adopting decisions in matters given under 4.4.1, 4.4.2, 4.4.3 and 4.4.4 is **EUR 550.00**.

4.4.1 Fee for deciding on listing on the PROGRESS Segment

4.4.1.1 The fee for deciding on the listing of equity, bonds, commercial papers on the PROGRESS Segment.

4.4.2 Fee for deciding on temporary suspension of trading

4.4.2.1 The fee for deciding on a temporary suspension of trading in a security pursuant to the first indent of the first paragraph of Article 24 and the second paragraph of Article 24 of the SI ENTER Rules will not be charged.

4.4.3 Fee for deciding on changes in securities

4.4.3.1 The fee for deciding on a change in a security (increase or decrease in the number of securities, other changes)

4.4.4 Fee for deciding on delisting

4.4.4.1 The fee for deciding on the delisting of a security from the PROGRESS Segment. The delisting fee will not be charged for bonds and commercial papers that have reached maturity.

4.5 Authorised Advisors

4.5.1 The fee for deciding on acquiring the request for a status of an Authorised Advisor

4.5.1.1 The fee for deciding on acquiring the Status of an Authorised Advisor is **EUR 75.00**.

4.5.1.2 The fee for deciding on acquiring the Status of an Authorised Advisor in the PROGRESS Segment in case of approval of the request of the adviser is taken into account when calculating the fee referred to in point 4.5.2 of this Schedule.

4.5.2 The fee for Acquiring the Status

4.5.2.1 The fee for acquiring the Status is **EUR 440.00**.

4.5.3 Annual status maintenance fee

4.5.3.1 Annual Status maintenance fee is **EUR 295.00**.

5 GENERAL PROVISIONS APPLICABLE TO SECTIONS 1, 2 and 4 OF THIS FEE SCHEDULES

5.1 The fees from Sections 1, 2 and 4 of this Fee Schedule shall be settled by the person who applied for listing of a security on the SI ENTER market.

5.2 The fee for listing securities on the stock exchange market, from points 1.1.1, 1.2.1, 1.3.1, 4.1.1, 4.2.1 and 4.3.1 of this Fee Schedule is charged upon listing on the SI ENTER market. The person liable will be required to pay it within the deadline specified in the operative part of the decision before the start of trading, and not later than 8 days from the date of invoicing.

5.3 The annual listing maintenance fee from points 1.1.2, 1.2.2, 1.3.2, 4.1.2, 4.2.2 and 4.3.2 of this Fee Schedule is charged per calendar year, for each month of listing. It is billed in the first month of the period. If securities are listed during the calendar year or get delisted or reach maturity prior

to the end of the calendar year, the fee is charged for each started month of the year in the proportionate amount of the entire monthly fee (1/12 of annual fee).

- 5.4 The fee for deciding on acquiring the request for a status from point 4.5.1 of this Fee Schedule shall be settled when application is filled, notwithstanding the Exchange's later dismissal or rejection of the application or its termination of the decision procedure.
- 5.5 The fee for acquiring the request for a status from point 4.5.2 of this Fee Schedule shall be settled in a form of a proforma invoice when the status is acquired before the decision has been issued.
- 5.6 The annual status maintenance fee from point 4.5.3 of this Fee Schedule is charged per calendar year, for each month of having the status of an Authorise Advisor. It is billed in the first month of the period. If the status is acquired during the calendar year or ends before the end of the calendar year, the fee is charged for each started month of the year in the proportionate amount of the entire monthly fee (1/12 of annual fee).

6 FEES CHARGED FOR ADOPTING DECISIONS RELATED TO SI ENTER MEMBER FIRMS

6.1 **Costs of proceedings related to violations of obligations by SI ENTER member firms**

All costs of proceedings related to violations of obligations by SI ENTER member firms are determined and amended in accordance with the Ljubljana Stock Exchange Inc. Services Fee Schedule.

7 TRANSACTION FEES

Member firms pay for both the buyer and seller part of each trade transacted on the SI ENTER market in accordance with Section 8 of the Ljubljana Stock Exchange Inc. Services Fee Schedule.

8 OTHER LJUBLJANA STOCK EXCHANGE SERVICES

8.1 **Other Exchange services are charged in compliance with the following fee schedules**

- 8.1.1 Ljubljana Stock Exchange Inc. Services Fee Schedule.
8.1.2 Market Data Fee Schedule.

9 VALUE ADDED TAX

All prices in the Fee Schedule are given without VAT, which the Exchange charges to the users of its services separately, in compliance with the applicable legislation.

10 MANNER OF PAYMENT

The users of Exchange services are obliged to settle all the services from the Fee Schedule (except for fees under 1.3, 4.4, 5.2 and 5.5 from the Fee Schedule) within 15 days after the invoice has been issued. In case of late payment, the Exchange will charge lawful interest for late payment.

11 DISCOUNTS AND FEE REDUCTIONS

- 11.1 In the event of a simultaneous decision on changes in listing or delisting for more securities of the same issuer, the Exchange Management Board approves a 50 percent discount from the third security onwards.
- 11.2 The Exchange Management Board may approve issuers which have more issues of securities listed on the stock exchange market with special discounts on listing maintenance fee for securities.
- 11.3 The Exchange Management Board may approve users with additional discounts on an equal basis, which shall be publicly announced prior to them taking effect, and shall become part of the Fee Schedule on the day of the discount resolution becoming effective.

12 CHANGES OF FEE SCHEDULE

- 12.1 A rule the Exchange shall send notice on changes to fees from points 1 and 4 of the Fee Schedule to issuers three (3) months and no less than one (1) month prior to the changes taking effect.
- 12.2 As a rule the Exchange shall send notice on changes to fees from points 6, 7 and 8.1 of the Fee Schedule to members three (3) months and no less than one (1) month prior to the changes taking effect.
- 12.3 As a rule the Exchange shall send notice on changes to fees from point 5 of the Fee Schedule to authorised advisors three (3) months and no less than one (1) month prior to the changes taking effect.
- 12.4 The Management Board may once a year adjust the amounts of fee for the services specified in this Fee Schedule with the increase in the consumer prices in the period since the last adjustment. Regardless of the provisions of items 12.1, 12.2 and 12.3, the changes in fees according to this point shall be notified (1) one month before the changes taking effect.

13 EFFECTIVE DATE

- 13.1 This Fee Schedule was adopted by the Management Board at its meeting on 30 June 2022 and shall take effect on the day of its adoption and shall enter into use on 1 August 2022.
- 13.2 Once this Fee Schedule takes effect, the Ljubljana Stock Exchange Ltd. SI ENTER Services Fee Schedule as at 22 October 2019 shall terminate.

Ljubljana, 30 June 2022

Ljubljana Stock Exchange Inc.

Nina Vičar, MSc, m. p.
Member of the Management Board

Aleš Ipavec, MSc, m. p.
President of the Management Board